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10/797,545 03/10/2004 David Bruce Repke R0152B-REG 4378  24372 7590 09/22/2004 EXAMINER  ROCHE PALO ALTO LLC PATENT LAW DEPT. M/S A2-250  3431 HILLVIEW AVENUE PALO ALTO, CA 94304 ART UNIT PAPER NUMBER	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
ROCHE PALO ALTO LLC PATENT LAW DEPT. M/S A2-250 3431 HILLVIEW AVENUE  ROBINSON, BINTA M  ART UNIT PAPER NUMBER	10/797,545	03/10/2004	David Bruce Repke	R0152B-REG	4378	
PATENT LAW DEPT. M/S A2-250 3431 HILLVIEW AVENUE  ART UNIT PAPER NUMBER	24372	7590 09/22/2004	•	EXAM	EXAMINER	
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				DATE MAILED: 09/22/2004		

Please find below and/or attached an Office communication concerning this application or proceeding.

,	Application No.	Applicant(s)			
Office Action Summary	10/797,545	REPKE ET AL.			
Office Action Summary	Examiner	Art Unit			
	Binta M Robinson	1625			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).					
Status					
1) Responsive to communication(s) filed on	_•				
2a) This action is <b>FINAL</b> . 2b) ⊠ This	action is non-final.				
3) Since this application is in condition for allowan	ce except for formal matters, pro	secution as to the merits is			
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	3 O.G. 213.			
Disposition of Claims		, ,			
4) Claim(s) <u>1-43</u> is/are pending in the application.					
4a) Of the above claim(s) 23,24,28,29 and 40-4	<u>2</u> is/are withdrawn from consider	ation.			
5) Claim(s) is/are allowed.					
6) Claim(s) <u>1-22,25-27, 39, 43</u> is/are rejected.					
7)⊠ Claim(s) <u>30-38</u> is/are objected to.					
8) Claim(s) are subject to restriction and/or election requirement.					
Application Papers					
9) The specification is objected to by the Examine	r.	!			
10) The drawing(s) filed on is/are: a) acce	epted or b) objected to by the E	Examiner.			
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	-(d) or (f).			
a)□ All b)□ Some * c)□ None of:					
<ol> <li>Certified copies of the priority documents</li> </ol>	have been received.				
2. Certified copies of the priority documents have been received in Application No					
3. Copies of the certified copies of the priority documents have been received in this National Stage					
application from the International Bureau (PCT Rule 17.2(a)).					
* See the attached detailed Office action for a list of the certified copies not received.					
Attachment(s)					
1) Notice of References Cited (PTO-892)	4) Interview Summary				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)	Paper No(s)/Mail Da 5) Notice of Informal P	ate atent Application (PTO-152)			
Paper No(s)/Mail Date: 5					

U.S. Patent and Trademark Office PTOL-326 (Rev. 1-04)

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#### **DETAILED ACTION**

Claims 1-43 are pending in this application.

#### **Election/Restrictions**

The Markush group set forth in the claims includes both independent and distinct inventions, and patentable distinct compounds (or species) within each invention. However, this application discloses and claims a plurality of patentable distinct inventions far too numerous to list individually. Moreover, each of these inventions contains a plurality of patentable distinct compounds, also far too numerous to list individually. For these reasons provided below, restriction to one of the following Groups is required under 35 U.S.C. 121, wherein a Group is a set of patentable distinct inventions of a broad statutory category (e.g. compounds, methods of use, methods of making, etc.):

- I. Claims 1-39, and 43 are drawn to products of the formula depicted in claim 1, and the process of making these products, classified in various subclasses of classes 514, 544, 546 and 548.
- II. Claims 40-41, are drawn to a method of use for the compounds of the formula (I) classified in various subclasses of class 514.
- III. Claim 42, is drawn to a method of use for the compounds of the formula (I) classified in various subclasses of 514.

In addition to an election of one of Invention Sets I-II above, election of a single disease state is further required under 35 U.S.C. 121 as follows:

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3. If Group II is elected, then election of one of the following methods of use in claim 41 is required:

- A. Method of treating psychoses
- B. Method of treating manic depressions
- C. Method of treating neurological disorders

Etc.

In accordance with the decisions in *In re Harnisch*, 631 F.2d 716, 206 USPQ 300 (CCPA 1980); and *Ex parte Hozumi*, 3 USPQ2d 1059 (Bd. Pat. App. & Int. 1984), restriction of a Markush group is proper where the compounds within the group either (1) do not share a common utility, or (2) do not share a substantial structural feature disclosed as being essential to that utility. In addition, a Markush group may encompass a plurality of independent and distinct inventions where two or more members are so unrelated and diverse that a prior art reference anticipating the claim with respect to one of the members would not render the other member(s) obvious under 35 U.S.C. 103.

Where an election of any one of Group I is made, an election of a single compound is further required including an exact definition of each substitution on the base molecule (Formula (I)), wherein a single member at each substituent group or moiety is selected. For example, if a base molecule has a substituent group R1, wherein R1 is recited to be any one of H, OH, COOH, aryl, alkoxy, halogen, amino, etc., then applicant must select a single substituent f R1, for example OH or aryl and each subsequent variable position. In the instant case, upon election of a single

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compound, the Office will review the claims and disclosure to determine the scope of the independent invention encompassing the elected compound (compounds which are so similar thereto as to be within the same inventive concept and reduction to practice). The scope of an independent invention will encompass all compounds within the scope of the claim, which fall into the same class and subclass as the elected compound, but may also include additional compounds, which fall in related subclasses. Examination will then proceed on the elected compound AND the entire scope of the invention encompassing the elected compound as defined by common classification. A clear statement of the examined invention, defined by those class(es) and subclass(es) will be set forth in the first action on the merits. Note that the restriction requirement will not be made final until such time as applicant is informed of the full scope of compounds along with (if appropriate) the process of using or making said compound under examination. This will be set forth by reference to specific class(es) and subclass(es) examined. Should applicant traverse on the ground that the compound are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the compound to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other.

All compounds falling outside the class(es) and subclass(es) of the selected compound and any other subclass encompassed by the election above will be directed to nonelected subject matter and will be withdrawn from consideration under 35 U.S.C.

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121 and 37 C.F.R. 1.142(b). Applicant may reserve the right to file divisional applications on the remaining subject matter. (The provisions of 35 U.S.C. 121 applies with regard to double patenting covering divisional applications.)

Applicant is reminded that upon cancellation of claims to a nonelected invention, the inventions must be amended in compliance with 37 C.F.R. 1.48(b) if one of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a petition under 37 C.F.R. 1.48(b) and by the fee required under 37 C.F.R. 1.17(i).

If desired upon election of a single compound, applicants can review the claims and disclosure to determine the scope of the invention and can **set forth** a group of compounds, which are so similar within the same inventive concept and reduction to practice. Markush claims must be provided with support in the disclosure for each member of the Markush group. See MPEP 608.01(p). Applicant should exercise caution in making a selection of a single member for each substituent group on the base molecule to be consistent with the written description.

# Rationale Establishing Patentable Distinctiveness Within Each Group

Each Group listed above is directed to or involves the use of compounds which are recognized in the art as being distinct from one another because of their diverse chemical structure, their different chemical properties, modes of action, different effects and reactive conditions (MPEP 806.04, MPEP 808.01). Additionally, the level of skill in the art is not such that one invention would be obvious over the other invention (Group), i.e. they are patentable over each other. Chemical structures, which are similar, are

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presumed to function similarly, whereas chemical structures that are not similar are not presumed to function similarly. The presumption even for similar chemical structures though is not irrebuttable, but may be overcome by scientific reasoning or evidence showing that the structure of the prior art would not have bee expected to function as the structure of the claimed invention. Note that in accordance with the holding of Application of Papesch, 50 CCPA 1084, 315 F.2d 381, 137 USPQ 43 (CCPA 1963) and In re Lalu, 223 USPQ 1257 (Fed. Cir. 1984), chemical structures are patentably distinct where the structures are either not structurally similar, or the prior art fails to suggest a function of a claimed compound would have been expected from a similar structure.

The above groups represent general areas wherein the inventions are independent and distinct, each from the other because of the following reasons:

Inventions I and II are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case, the product as claimed could be used in a materially different process of using that product as demonstrated throughout the specification and in claim 41 which are directed to several different methods of using the product, for example treating psychoses, schizophrenia, mania.

In addition, because of the plethora of classes and subclasses in each of the Groups, a serious burden is imposed on the examiner to perform a complete search of the defined areas. Therefore, because of the reasons given above, the restriction set

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forth is proper and not to restrict would impose a serious burden in the examination of this application.

## Advisory of Rejoinder

The following is a recitation of M.P.E.P. 821.04, Rejoinder:

Where product and process claims drawn to independent and distinct inventions are presented in the same application, applicant may be called upon under 35 U.S.C. 121 to elect claims to either the product or process. See MPEP § 806.05(f) and § 806.05(h). The claims to the nonelected invention will be withdrawn from further consideration under 37 CFR 1.142. See MPEP § 809.02(c) and § 821 through § 821.03. However, if applicant elects claims directed to the product, and a product claim is subsequently found allowable, withdrawn process claims which depend from or otherwise include all the limitations of the allowable product claim will be rejoined.

Where the application as originally filed discloses the product and the process for making and/or using the product, and only claims directed to the product are presented for examination, when a product claim is found allowable, applicant may present claims directed to the process of making and/or using the patentable product by way of amendment pursuant to 37 CFR 1.121. In view of the rejoinder procedure, and in order to expedite prosecution, applicants are encouraged to present such process claims, preferably as dependent claims, in the application at an early stage of prosecution. Process claims which depend from or otherwise include all the limitations of the patentable product will be entered as a matter of right if the amendment is presented prior to final rejection or allowance. Amendments submitted after final rejection are governed by 37 CFR 1.116. Process claims which do not depend from or otherwise include the limitations of the patentable product will be withdrawn from consideration, via an election by original presentation (see MPEP § 821.03). Amendments submitted after allowance are governed by 37 CFR 1.312. Process claims which depend from or otherwise include all the limitations of an allowed product claim and which meet the requirements of 35 U.S.C. 101, 102, 103, and 112 may be entered.

Where product and process claims are presented in a single application and that application qualifies under the transitional restriction practice pursuant to 37 CFR 1.129(b). applicant may either: (A) elect the invention to be searched and examined and pay the fee set forth in 37 CFR 1.17(s) and have the additional inventions searched and examined under 37 CFR 1.129(b)(2); or (B) elect the invention to be searched and examined and not pay the additional fee (37 CFR 1.129(b)(3)). Where no additional fee is paid, if the elected invention is directed to the product and the claims directed to the product are subsequently found patentable. process claims which either depend from or include all the limitations of the allowable product will be rejoined. If applicant chooses to pay the fees to have the additional inventions searched and examined pursuant to 37 CFR 1.129(b)(2) even if the product is found allowable, applicant would not be entitled to a refund of the fees paid under 37 CFR 1.129(b) by arguing that the process claims could have been rejoined. 37 CFR 1.26(a) states that "[T]he Commissioner may refund any fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee...will not entitle a party to a refund of such fee..." In this case, the fees paid under 37 CFR 1.129(b) were not paid by mistake nor paid in excess, therefore, applicant would not be entitled to a refund. In the event of rejoinder, the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101,102, 103, and 112. If the application containing the rejoined claims is not in condition for allowance, the subsequent Office action may be made final, or, if the application was already under final rejection, the next Office action may be an advisory action. Form paragraphs 8.42 through 8.44 should be used to

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notify applicant of the rejoinder of process claims which depend from or otherwise include all the limitations of an allowable product claim.

In the event of rejoinder, the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104 - 1.106. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101, 102, 103, and 112. If the application containing the rejoined claims is not in condition for allowance, the subsequent Office action may be made final, or, if the application was already under final rejection, the next Office action may be an advisory action.

The following is a recitation from paragraph five, "Guidance on Treatment of Product and Process Claims in light of *In re Ochiai, In re Brouwer* and 35 U.S.C. §103(b)" (1184 TMOG 86(March 26, 1996)):

"However, in the case of an elected product claim, rejoinder will be permitted when a product claim is found allowable and the withdrawn process claim **depends from or otherwise includes all the limitations of** an allowed product claim. Withdrawn process claims not commensurate in scope with an allowed product claim will not be rejoined." (emphasis added)

Therefore, in accordance with M.P.EP 821.04 and In re Ochiai, 71 F.3d 1565, 37 USPQ 1127 (Fed. Cir. 1995), rejoinder of product claims with process claims commensurate in scope with the allowed product claims will occur following a finding that the product claims are allowable. Until, such time, a restriction between product claims and process claims is deemed proper. Additionally, in order to retain the right to rejoinder in accordance with the above policy, Applicant is advised that the process claims should be amended during prosecution to maintain either dependency on the product claims or to otherwise include the limitations of the product claims. *Failure to do so may result in a loss of the right to rejoinder*.

## Response to Restriction

During a telephone conversation with Applicants' representative, Robert Hall, September 10, 2004, an election was made with traverse to Group I, claims 1-39, and

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43, directed to products of Formula I, and the specific compound of example 1 on page 38 of the specification is acknowledged. Mr. Hall also elected a method of treating memory disorders when claims 40-42 are rejoined for examination.

As previously stated in the restriction requirement, in accordance with M.P.E.P. 821.04 and In re Ochiai, 71 F.3d 1565, 37 USPQ 1127 (Fed. Cir. 1995), rejoinder of product claims and method of use claims commensurate in scope with the allowed product claims will occur following a finding that the product claims are allowable. Until such time, a restriction between product claims and method of use is deemed proper.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

The scope of the invention of the elected subject matter is as follows:

Compounds of formula 1, depicted in claim 1, wherein:

m is 0 to 4, p is 1 to 3, q is from 1 to 3, r is from 1 to 3, A is arylene, E is N, X-CR<sup>a</sup>R<sup>b</sup>-wherein R<sup>a</sup> ad R<sup>b</sup> each independently is hydrogen or alkyl and each R1 is independently any of the radicals claimed except heteroalkyl, s is 0 to 2 and R<sup>c</sup> and R<sup>d</sup> are each independently H or alkyl, Y is as claimed, R4-R9 are as claimed, and R10 are all radicals claimed except heteroaryl or heterocyclyl.

As a result of the election and the corresponding scope of the invention identified supra, the remaining subject matter of claims 1-12 are withdrawn from further

consideration pursuant to 37 CFR 1.142 (b) as being drawn to non-elected inventions. The withdrawn compounds contain varying functional groups such as pyrimidinyl; piperidinyl; imidazolyl, pyrrolidinyl etc, which are chemically recognized to differ in structure and function. This recognized chemical diversity of the functional groups can be seen by the various classification of these functional groups in the U.S. classification system, i.e.class 544 subclass 224(+) (diazines), class 546 subclass 184(+) (piperdines), 546 subclass 249(+) (pyridines) etc. Therefore the subject matter which are withdrawn from consideration as being non-elected subject differ materially in structure and composition and have been restricted properly a reference which anticipated but the elected subject matter would not even render obvious the withdrawn subject matter and the fields of search are not co-extensive.

## Status of the Claims

Claims 1-43 are pending in this application. Claims 23, 24, 28, 29 and 40-42 are withdrawn from further consideration by the Examiner, 37 C.F.R. § 1.142(b), as being drawn to a non-elected invention as well as the unelected portions of claims 1-22, 25-27, 30-39 and 43. The withdrawn subject matter is patentably distinct from the elected subject matter as it differs in structure and element and would require separate search considerations. In addition, a reference, which anticipates one group, would not render obvious the other.

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## Claim Objections & Rejections

Claims 1-22, 25-27, 30-39, and 43 are objected to because they contain nonelected subject matter.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim 39 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. Claim 39 is rejected under 35 U.S.C. 112, first paragraph, because the specification, does not reasonably provide enablement for a treatment of all memory disorders. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to use the invention commensurate in scope with these claims.

In *In re Wands*, 8 USPQ2d 1400 (1988), factors to be considered in determining whether a disclosure meets the enablement requirement of 35 U.S.C. § 112, first paragraph, have been described. They are:

- 1. the nature of the invention,
- 2. the state of the prior art,
- 3. the predictability or lack thereof in the art.
- 4. the amount of direction or guidance present,
- 5. the presence or absence of working examples,
- 6. the breadth of the claims,
- 7. the quantity of experimentation needed, and
- 8. the level of the skill in the art.

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#### The Nature of the Invention

The nature of the invention in claims 39 is the treatment of an 5-hydroxytryptamine-mediated disease, with the compound of claim 1.

#### The State of the Prior Art

The state of the prior art is that neurotransmitter, 5-hydroxytraptamine is a major modulatory neurotransmitter in the brain. And may play a role in the pathology of central nerve system disorders, such as Parkinson's disease, Huntington's disease, anxiety, manic depression, pychoses. The effects of noradrenaline and 5-hydroxytryptamine modulation of brain dopamine function on Parkinson's disease has been examined in the review literature. See Ca 98:83656. However, the efficacy of 5-hydroxytryptamaine modulators on memory disorders has not been established in the art.

The predictability or lack thereof in the art

The instant claimed invention is highly unpredictable as discussed below:

It is noted that the pharmaceutical art is unpredictable, requiring each embodiment to be individually assessed for physiological activity. In re Fisher, 427 F.2d 833, 166 USPQ 18 (CCPA 1970) indicates that the more unpredictable an area is, the more specific enablement is necessary in order to satisfy the statute. In the instant case, the instant claimed invention is highly unpredictable since one skilled in the art would recognize that in regards to therapeutic effects of 5-HT-mediated diseases, whether the 5HT was modulated would affect the possible treatment of any disease.

Hence, in the absence of a showing of correlation between all the diseases claimed as capable of treatment by the compound of claim 1 and the inhibition of 5HT,

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one of skill in the art is unable to fully predict possible results from the administration of the compound of claim 1 due to the unpredictability of the role of 5HT, i.e. whether promotion or inhibition would be beneficial for the treatment of the diseases.

The nature of pharmaceutical arts is that it involves screening *in vitro* and *in vivo* to determine which compounds exhibit the desired pharmacological activities. There is no absolute predictability even in view of the seemingly high level of skill in the art. The existence of these obstacles establishes that the contemporary knowledge in the art would prevent one of ordinary skill in the art from accepting any therapeutic regimen on its face.

## The amount of direction or guidance present

The direction present in the instant specification is that the compounds of claim 1 can inhibit the production of 5HT which helps in the treatment for rejection by organ transplantation. However, the specification is silent and fails to provide guidance as to whether the diseases listed as 5HT-mediated diseases, except for the rejection by organ transplantation (pages 1-2 and 9-10) require the inhibition of 5HT or the promotion of 5HT for treatment, i.e. the specification fails to provide a correlation between the diseases listed and the inhibition of 5HT.

### The presence or absence of working examples

There are not other working examples for any other diseases listed in the specification. Also, the compounds which are discloses in the specification have no pharmacological data regarding the treatment of any other disease besides the rejection of an organ transplantation and have no data on the possible treatment of 5HT-

mediated diseases that require the promotion of 5HT. Also, the specification fails to provide working examples as to how the listed diseases can be treated by the inhibition of 5HT, i.e. again, there is no correlation between the diseases listed and inhibition of 5HT.

#### The breadth of the claims

The breadth of the claims is that the compound of claim 1 can treat any 5HT-mediated disease, without regards as to the affect of 5HT on the stated diseases.

## The quantity of experimentation needed

The quantity of experimentation needed is undue experimentation. One of skill in the art would need to determine what listed diseases would be benefited by the inhibition of 5HT and would furthermore then have to determine whether the claimed compounds would provide treatment of the disease by the inhibition of 5HT.

#### The level of the skill in the art

The level of skill in the art is high. However, due to the unpredictability in the pharmaceutical art, it is noted that each embodiment of the invention is required to be individually assessed for physiological activity by in vitro and in vivo screening to determine which compounds exhibit the desired pharmacological activity and which diseases would benefit from this activity.

Thus, the specification fails to provide sufficient support of the broad use of the compound of the claim 1 for the treatment of an 5HT-mediated disease. As a result necessitating one of skill to perform an exhaustive search for which 5HT-mediated

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diseases can be treated by the compound of claim 1 in order to practice the claimed invention.

Genentech Inc. v. Novo Nordisk A/S (CA FC) 42 USPQ2d 1001, states that "a patent is not a hunting license. It is not a reward for search, but compensation for its successful conclusion" and "[p]atent protection is granted in return for an enabling disclosure of an invention, not for vague intimations of general ideas that may or may not be workable".

Therefore, in view of the Wands factors and In re Fisher (CCPA 1970) discussed above, to practice the claimed invention herein, a person of skill in the art would have to engage in undue experimentation to test which 5HT-mediated diseases can be treated by the compound encompassed in the instant claims, with no assurance of success.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-22, 25-27, and 43 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

- Α. In claim 1, line 16, and all other occurrences throughout claims 2-22, 25-27, and 43, the phrase "X and Y together for an alkenylene group" is indefinite and unclear. X and Y are connected in a ring. Does applicant intend the X and Y to come to form another ring, which will make a tricyclic structure?
- В. Claims 7 recites the limitation "substituted phenylene" in line 1. There is insufficient antecedent basis for this limitation in the claim. Claim 12 recites the

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limitation "halophenylene, haloalkylphenylene, alkylphenylene, alkoxyphenylene or alkylenedioxyphenylene" in lines 1-2. There is insufficient antecedent basis for this limitation in the claim. Claim 16 recites the limitation "optionally substituted phenylene" in line 1. There is insufficient antecedent basis for this limitation in the claim. Claim 21 recites the limitation "halophenylene, haloalkylphenylene, alkylphenylene, alkylphenylene, alkoxyphenylene, or alkylenedioxyphenylene" in lines 1-2. There is insufficient antecedent basis for this limitation in the claim.

The IDS filed 3/10/04 has been considered.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Binta M. Robinson whose telephone number is (571) 272-0692. The examiner can normally be reached on M-F (9:30-6:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached on 571-272-0562.

A facsimile center has been established. The hours of operation are Monday through Friday, 8:45 AM to 4:45 PM. The telecopier numbers for accessing the facsimile machine are (703)308-4242, (703)305-3592, and (703)305-3014.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (571)-272-1600.

BMR

September 17, 2004

Supervisory Patent Examiner Technology Center 1600